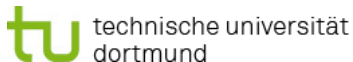


# Dirac Type Asymptotics for Wavepackets in Periodic Media of Finite Contrast

Tomáš Dohnal and Lisa Helfmeier



2016-7-15

## 1 Introduction

- Wavepackets with one Carrier Bloch Wave  $\rightsquigarrow$  NLS asymptotics
- Wavepackets with two Carrier Bloch Waves  $\rightsquigarrow$  Dirac Asymptotics

## 2 Dirac Asymptotics for Periodic NLS with Finite Contrast

- Approximation Result
- Spectral Gap in the Asymptotic Model and the Original Model
- Numerical Example

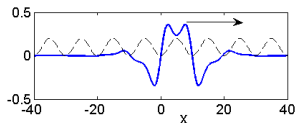
## 3 Future work

# Prototype model: periodic Nonlinear Schrödinger equation (PNLS)

$$i\partial_t u + \Delta u - V(x)u - \sigma(x)|u|^2 u = 0, \quad x \in \mathbb{R}^d, t \in \mathbb{R}$$

$$V, \sigma \in L_{\text{per}}^\infty((0, 2\pi)^d, \mathbb{R})$$

**Overall aim:** coherent (solitary) localized pulses propagating across periodic structures  
*- not only for infinitesimal contrast!*



(here only  $d = 1$ )

# Linear Waves, Band Structure

- simple example: 1D Schrödinger-Eq.

$$i\partial_t u + \partial_x^2 u - V(x)u = 0, \quad x \in \mathbb{R}, \quad V(x + 2\pi) = V(x)$$

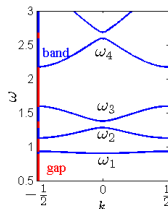
bounded solutions:

$$\text{Bloch-Waves } \psi_n(x, t; k) = p_n(x, k) e^{i(kx - \omega_n(k)t)},$$

$$\text{where } p_n(x + 2\pi, k) = p_n(x, k), \quad k \in (-1/2, 1/2],$$

$$-(\partial_x + ik)^2 p_n + V(x)p_n = \omega_n(k)p_n$$

Band-Structure



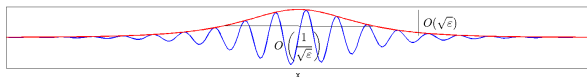
$$V(x) = 1 + 0.2(\cos(x) + 3 \sin(3x))$$

- Bloch waves propagate at the group velocity  $v_g(k) = \omega'_n(k)$
- $\omega'_n(k) \neq 0$  if  $\omega_n(k) \in \text{int}(\text{spec}(-\partial_x^2 + V))$

# Wavepackets with one carrier Bloch wave: NLS asymptotics

$$i\partial_t u + \partial_x^2 u - V(x)u - \sigma(x)|u|^2 u = 0, \quad x \in \mathbb{R}, t \in \mathbb{R} \quad (\text{PNLS})$$

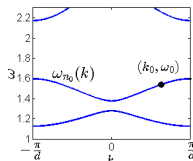
- modulated nearly linear wavepackets = carrier Bloch wave modulated by a small localized slowly varying envelope



formal ansatz: (for  $\omega''_{n_0}(k_0) \neq 0$ )

$$u(x, t) \sim \sqrt{\varepsilon} A(\sqrt{\varepsilon}(x - v_g t), \varepsilon t) \psi_{n_0}(x, t; k_0) =: u^{app}(x, t) \quad (\varepsilon \rightarrow 0)$$

with  $v_g = \omega'_{n_0}(k_0)$ ,  $\omega_0 = \omega_{n_0}(k_0)$



effective equation: ( $T := \varepsilon t$ ,  $X := \sqrt{\varepsilon}(x - v_g t)$ )

$$i\partial_T A + \frac{1}{2} \omega''_{n_0}(k_0) \partial_X^2 A + \gamma |A|^2 A = 0, \quad (\text{NLS})$$

where  $\gamma = -\|\psi_{n_0}(\cdot, k_0)\|_{L^4_{\sigma}(0,d)}^4$ .

# NLS asymptotics - rigorous results

## Theorem (Pelinovsky, 2011)

Let  $V \in C_{per}([0, 2\pi], \mathbb{R})$ . If  $A \in C([0, T], H^6(\mathbb{R}))$  solves NLS, then  $\exists \varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$  the solution  $u$  of PNLW with  $u(x, 0) = u^{app}(x, 0)$  satisfies  $u \in C([0, T/\varepsilon], H^1(\mathbb{R}))$  and

$$\sup_{t \in [0, T/\varepsilon]} \|u(\cdot, t) - u^{app}(\cdot, t)\|_{H^1(\mathbb{R})} \leq C\varepsilon^{3/4}.$$

- periodic nonlinear wave equation

$$\partial_t^2 u = \chi_1(x) \partial_x^2 u - \chi_2(x) u - \chi_3(x) u^3, \quad x \in \mathbb{R}, t \in \mathbb{R} \quad (\text{PNLW})$$

## Theorem (Busch, Schneider, Tkeshelashvili, Uecker, 2006)

Let  $\chi_j$  be smooth and  $2\pi$ -periodic,  $\chi_1, \chi_2 > 0$ , and assume the non-resonance condition

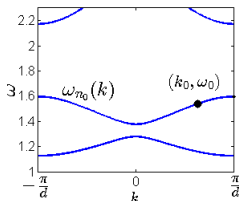
$$|\omega_n(jk_0) - j\omega_{n_0}(k_0)| > \delta > 0 \quad \text{for all } n \in \mathbb{Z}, j \in \{\pm 1, \pm 3\}, (n, j) \neq \pm(n_0, 1).$$

If  $A \in C([0, T], H^3(\mathbb{R}))$  solves NLS, then  $\exists \varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$  the solution  $u$  of PNLW with  $u(x, 0) = u^{app}(x, 0)$  satisfies  $u \in C([0, T/\varepsilon], H^1(\mathbb{R}))$  and

$$\sup_{t \in [0, T/\varepsilon]} \|u(\cdot, t) - (u^{app}(\cdot, t) + c.c.)\|_{H^1(\mathbb{R})} \leq C\varepsilon^{3/4}.$$

# NLS asymptotics - velocity considerations

- NLS asymptotics of [Pelinovsky 2011, Busch et al, 2006]:



- Varying  $k_0$  and  $n_0$ , one sweeps a range of  $v_g = \omega'_{n_0}(k_0)$  but each at a different frequency  $\omega_0 = \omega_{n_0}(k_0)$ .
- our aim:**
  - family of wavepackets parametrized by velocity at a fixed frequency

# Dirac Asymptotics in 1D PNLS

$$i\partial_t u + \partial_x^2 u - (V(x) + \varepsilon W(x))u - \sigma|u|^2 u = 0, \quad x \in \mathbb{R} \quad (1D \text{ PNLS})$$

with  $V(x + 2\pi) = V(x)$ ,  $\varepsilon > 0$  and  $W$  periodic



## Dirac Asymptotics in 1D PNLs

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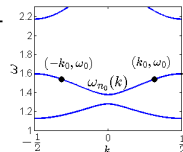
**Idea:** wavepacket about two counter-propagating Bloch-waves

$$p_{n_0}(x, \pm k_0) e^{i(\pm k_0 x - \omega_0 t)},$$

which have group velocities  $\pm v_g = \omega'_{n_0}(\pm k_0)$

**ansatz:**

$$u(x, t) \sim \sqrt{\varepsilon} \left[ A_+(\varepsilon x, \varepsilon t) p_{n_0}(x, k_0) e^{ik_0 x} + A_-(\varepsilon x, \varepsilon t) p_{n_0}(x, -k_0) e^{-ik_0 x} \right] e^{-i\omega_0 t} =: u^{app}(x, t)$$



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**effective equations:** ( $X = \varepsilon x$ ,  $T = \varepsilon t$ )

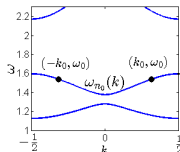
$$i(\partial_T + v_g \partial_X) A_+ + \kappa A_- + \alpha(|A_+|^2 + 2|A_-|^2) A_+ + \beta(2|A_+|^2 + |A_-|^2) A_- + \bar{\beta} A_+^2 \bar{A}_- + \gamma A_-^2 \bar{A}_+ = 0$$

$$i(\partial_T - v_g \partial_X) A_- + \kappa A_+ + \alpha(|A_-|^2 + 2|A_+|^2) A_- + \bar{\beta}(2|A_-|^2 + |A_+|^2) A_+ + \beta A_-^2 \bar{A}_+ + \bar{\gamma} A_+^2 \bar{A}_- = 0 \quad (\text{CME})$$

$$\alpha = -\|p_{n_0}(\cdot, k_0)\|_{L^4_{\sigma}(0, 2\pi)}^4, \quad \beta = -\langle \sigma |p_{n_0}(\cdot, k_0)|^2 p_{n_0}(\cdot, -k_0), p_{n_0}(\cdot, k_0) \rangle,$$

$$\gamma = -\langle \sigma p_{n_0}(\cdot, -k_0)^2 p_{n_0}(\cdot, k_0), p_{n_0}(\cdot, k_0) \rangle,$$

$$\kappa = -\langle W_1(\cdot) p_{n_0}(\cdot, -k_0), p_{n_0}(\cdot, k_0) \rangle_{L^2(0, d)}, \quad \text{and } W_1(x) = (2\pi\text{-})\text{periodic part of } e^{-2ik_0 x} W(x)$$



# Literature on CME

- (CME) have for  $v_g, \kappa, \alpha \neq 0, \beta = \gamma = 0$  explicit solitary waves with  $v \in (-v_g, v_g)$

[Aceves, Wabnitz, 1989]

$$A_{\pm}(y, \tau) = f_{\pm}(y - v\tau) e^{i\theta_{\pm}(y, \tau)}, \quad v \in (-v_g, v_g)$$

with  $f_{\pm}$  and  $\theta_{\pm}$  real,  $f_{\pm}(\xi) \propto \operatorname{sech}(a\xi)$ ,  $a > 0$

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$$\Rightarrow u^{app}(x, t) = \sqrt{\varepsilon} \sum_{\pm} f_{\pm}(\varepsilon(x - vt)) e^{i\theta_{\pm}(\varepsilon x, \varepsilon t)} e^{i(\pm k_0 x - \omega_0 t)}$$

- range of velocities  $v \in (-v_g, v_g)$  at one frequency  $\omega_0$

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- range of velocities  $v \in (-v_g, v_g)$  at one frequency  $\omega_0$
- rigorous justification of CME for  $V = 0$ ,  $W(x) = \cos(2k_0 x)$   
[Schneider, Uecker, 2001], [Goodman, Weinstein, Holmes, 2001], [Pelinovsky, 2011]

$$\|u(\cdot, t) - u^{app}(\cdot, t)\|_{C_b^0(\mathbb{R})} \leq C\varepsilon^{3/2} \text{ for } t \in [0, T_0/\varepsilon]$$

## Coupled mode asymptotics in finite contrast: rigorous result

## Theorem (D., Helfmeier 2016)

Let  $V, \sigma \in C_{per}([0, 2\pi], \mathbb{R})$ ,  $W(x) = \sum_{m \in \mathbb{N}} a_m e^{im \frac{2\pi}{d} x} + c.c.$ ,  $a_m = 0$  if  $m > M$  and let  $k_0 \in (0, 1/2]$ . If  $\hat{A}_{\pm} \in C^1([0, T_0], L^1_2(\mathbb{R}) \cap L^2(\mathbb{R}))$ , and  $(A_+, A_-)$  solves CME, then  $\exists \varepsilon_0 > 0$  such that for all  $\varepsilon \in (0, \varepsilon_0)$  the solution  $u$  of PNLs with  $u(x, 0) = u^{app}(x, 0)$  satisfies  $u \in C([0, T_0/\varepsilon], C_b^0(\mathbb{R}))$ ,  $u(x, t) \rightarrow 0$  for  $|x| \rightarrow \infty$  and

$$\sup_{t \in [0, T_0/\varepsilon]} \|u(\cdot, t) - u^{app}(\cdot, t)\|_{C_b^0(\mathbb{R})} \leq C\varepsilon^{3/2}.$$

$$(\|\hat{A}\|_{L^1_q(\mathbb{R})}) := \int_{\mathbb{R}} |\hat{A}(k)|(1 + |k|)^q dk$$

# Proof Preparations

*Approach:* formulation of the equation and ansatz in Bloch variables  $\rightsquigarrow$  infinite dimensional ODE system

- Bloch transformation

$$u(x, t) = \sum_{n \in \mathbb{N}} \int_{\mathbb{B}} U_n(k, t) \rho_n(x, k) e^{ikx} dk, \quad U_n(k, t) = \int_{\mathbb{R}^2} u(x, t) \overline{\rho_n(x, k)} e^{-ikx} dx$$

is an isomorphism between  $H^s(\mathbb{R}^2)$  and  $l_s^2(\mathbb{N}, L^2(\mathbb{B}))$  for  $s \geq 0$

- unfortunately  $L^2$ -spaces not suitable as  $\|f(\varepsilon \cdot)\|_{L^2(\mathbb{R})} = \varepsilon^{-1/2} \|f(\cdot)\|_{L^2(\mathbb{R})}$  ( $\varepsilon$ -powers lost)
- instead: use  $L^1$  and the fact

$$\vec{U}(\cdot, t) \in \mathcal{X}(s) := l_s^1(\mathbb{N}, L^1(\mathbb{B})), s > 1/2 \Rightarrow \begin{aligned} u \in C_b^0(\mathbb{R}), |u(x)| < c \|\vec{U}\|_{\mathcal{X}(s)}, \\ u(x) \rightarrow 0 \text{ as } |x| \rightarrow \infty \end{aligned}$$

# Proof of Theorem (for $W(x) := \cos(2k_0x)$ )

- Bloch mode expansion  $u(x, t) = \sum_{n \in \mathbb{N}} \int_{\mathbb{B}} U_n(k, t) \rho_n(x, k) e^{ikx} dx$

PNLS  $\Leftrightarrow$

$$i\partial_t \vec{U}(k) - \Omega(k) \vec{U}(k) - \varepsilon (M^+(k) \vec{U}(k + 2k_0) + M^-(k) \vec{U}(k - 2k_0)) + \vec{N}(\vec{U})(k) = 0,$$

$$\Omega_{i,j}(k) = \delta_{i,j} \omega_j(k), \quad N_j(\vec{U}) = -\langle \sigma(\cdot) (\tilde{u} *_B \tilde{\tilde{u}} *_B \tilde{u})(\cdot, k, t), \rho_j(\cdot, k) \rangle$$

$$\tilde{u}(x, k, t) = \sum_{n \in \mathbb{N}} U_n(k, t) \rho_n(x, k)$$



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$$\tilde{u}(x, k, t) = \sum_{n \in \mathbb{N}} U_n(k, t) \rho_n(x, k)$$

- $u^{app}(x, t) = \sqrt{\varepsilon} \left[ A_+(\varepsilon x, \varepsilon t) \rho_{n_0}(x, k_0) e^{ik_0 x} + A_-(\varepsilon x, \varepsilon t) \rho_{n_0}(x, -k_0) e^{-ik_0 x} \right] e^{-i\omega_0 t}$

- Bloch coefficients of  $u^{app}$ :

$$U_n^{app}(k, t) = \varepsilon^{-1/2} \sum_{\pm} \hat{A}_{\pm} \left( \frac{k \mp k_0}{\varepsilon}, \varepsilon t \right) \langle \rho_{n_0}(\cdot, \pm k_0), \rho_n(\cdot, k) \rangle_{L^2(0,d)}, \quad n \in \mathbb{N}$$

- modified/extended ansatz

$$U_{n_0}^{ext}(k, t) := \left( \varepsilon^{-1/2} \tilde{A}_1 \left( \frac{k-k_0}{\varepsilon}, \varepsilon t \right) + \varepsilon^{1/2} \tilde{A}_{1,3} \left( \frac{k-3k_0}{\varepsilon}, \varepsilon t \right) \right. \\ \left. + \varepsilon^{-1/2} \tilde{A}_{-1} \left( \frac{k+k_0}{\varepsilon}, \varepsilon t \right) + \varepsilon^{1/2} \tilde{A}_{-1,-3} \left( \frac{k+3k_0}{\varepsilon}, \varepsilon t \right) \right) e^{-i\omega_0 t}$$

$$\text{supp}(\tilde{A}_j(\cdot, \varepsilon t))$$

$$\subset [-\varepsilon^{-1/2}, \varepsilon^{-1/2}]$$

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$$\text{supp}(\tilde{A}_{i,j}(\cdot, \varepsilon t))$$

$$\subset [-3\varepsilon^{-1/2}, 3\varepsilon^{-1/2}]$$

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$$\text{supp}(\tilde{A}_j(\cdot, \varepsilon t))$$

$$\subset [-\varepsilon^{-1/2}, \varepsilon^{-1/2}]$$

$$\text{supp}(\tilde{A}_{j,j}(\cdot, \varepsilon t))$$

$$\subset [-3\varepsilon^{-1/2}, 3\varepsilon^{-1/2}]$$

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$$\vec{U}^{0,ext} := \varepsilon^{-1/2} \left( \tilde{A}_1 \left( \frac{k-k_0}{\varepsilon}, \varepsilon t \right) + \tilde{A}_{-1} \left( \frac{k+k_0}{\varepsilon}, \varepsilon t \right) \right) e^{-i\omega_0 t} \mathbf{e}_{n_0}$$

## Proof cont.

- residual at  $n = n_0, k \in [k_0 - 3\varepsilon^{1/2}, k_0 + 3\varepsilon^{1/2}]$

$$\begin{aligned} \text{Res}_{n_0}(k, t) = & \varepsilon^{1/2} \left[ (i\partial_T - \varepsilon^{-1}(\omega_{n_0}(k) - \omega_0)) \tilde{A}_1(K, T) + M^+(k) \tilde{A}_{-1}(K, T) \right. \\ & \left. + \varepsilon^{-1/2} \chi_{[k_0 - 3\varepsilon^{1/2}, k_0 + 3\varepsilon^{1/2}]}(k) N_{n_0}(\vec{U}^{0, \text{ext}})(k, t) \right] e^{i\omega_0 t} + \text{h.o.t.} \end{aligned}$$

- note:  $\omega_{n_0}(k) - \omega_0 = \varepsilon \frac{k - k_0}{\varepsilon} v_g(k_0) + O((k - k_0)^2) = \varepsilon K v_g(k_0) + O(\varepsilon^2), \quad K = \frac{k - k_0}{\varepsilon}$

## Proof cont.

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- note:  $\omega_{n_0}(k) - \omega_0 = \varepsilon \frac{k-k_0}{\varepsilon} v_g(k_0) + O((k-k_0)^2) = \varepsilon K v_g(k_0) + O(\varepsilon^2), \quad K = \frac{k-k_0}{\varepsilon}$

- Choosing

$$\tilde{A}_{\pm 1}(K, T) := \chi_{[-\varepsilon^{-1/2}, \varepsilon^{-1/2}]}(K) \hat{A}_{\pm}(K, T)$$

$$\tilde{A}_{n,j}(K, T) := \dots (\text{explicit functions of } \hat{A}_+(K, T), \hat{A}_-(K, T))$$

leads to

$$\|\vec{\text{Res}}(\cdot, t)\|_{X(s)} \leq C_{\text{Res}} \varepsilon^{5/2} \text{ for all } t \in [0, T_0 \varepsilon^{-1}], s < 1$$

if  $(A_+, A_-)$  solves CME and  $\hat{A}_{\pm} \in C^1([0, T_0], L_2^1(\mathbb{R}))$ .

## Proof cont.

- error:  $\vec{R} := \vec{U} - \vec{U}^{ext}$

$$\|\vec{R}(\cdot, t)\|_{X(s)} \leq c \int_0^t \varepsilon \|\vec{R}(\cdot, t)\|_{X(s)} + \varepsilon^{1/2} \|\vec{R}(\cdot, t)\|_{X(s)}^2 + \|\vec{R}(\cdot, t)\|_{X(s)}^3 + \varepsilon^{5/2} C_{Res} dt$$

- Gronwall inequality  $\Rightarrow \sup_{t \in [0, \varepsilon^{-1} T_0]} \|\vec{R}(\cdot, t)\|_{X(s)} \leq C\varepsilon^{3/2}$  if  $s < 1$
- difference between  $U^{app}$  and  $U^{ext}$ :
  - for  $\hat{A}_\pm(\cdot, T) \in L^1_2(\mathbb{R}) \cap L^2(\mathbb{R})$  is

$$\|U^{app}(\cdot, T) - U^{ext}(\cdot, T)\|_{X(s)} \leq c\varepsilon^{3/2}$$

□

# Do the asymptotics generate a traveling pulse?

- general CME

$$i(\partial_T + v_g \partial_X) A_+ + \kappa A_- + \alpha(|A_+|^2 + 2|A_-|^2)A_+ + \beta(2|A_+|^2 + |A_-|^2)A_- + \bar{\beta}A_+^2 \bar{A}_- + \gamma A_-^2 \bar{A}_+ = 0$$

$$i(\partial_T - v_g \partial_X) A_- + \bar{\kappa} A_+ + \alpha(|A_-|^2 + 2|A_+|^2)A_- + \bar{\beta}(2|A_-|^2 + |A_+|^2)A_+ + \beta A_-^2 \bar{A}_+ + \bar{\gamma} A_+^2 \bar{A}_- = 0$$

## Question:

- Do solitary wave solutions of CME exist?
  - special case: standing waves  $(A_+, A_-)(X, T) = e^{-i\lambda T}(B_+, B_-)(X)$  with  $B_{\pm} \in L^2(\mathbb{R})$ 
    - need  $\lambda \in \mathbb{R}$  outside the spectrum of  $\begin{pmatrix} i v_g \partial_X & \kappa \\ \bar{\kappa} & -i v_g \partial_X \end{pmatrix}$
  - dispersion relation for CME:  $\lambda^2(K) = |\kappa|^2 + v_g^2 K^2$ ,  $K \in \mathbb{R}$ 
    - $\Rightarrow$  spectral gap  $(-|\kappa|, |\kappa|)$  in CME
    - $\Rightarrow$  exp-localized profiles  $B_{\pm}$  expected (explicitly known for  $\beta = \gamma = 0$ )

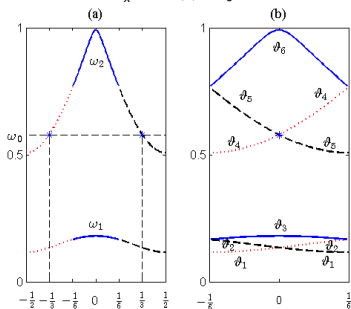
# Does a spectral gap in CME imply a spectral gap in PNLs?

1)  $k_0 \in \mathbb{Q}$ :  $V(x)$  and  $e^{ik_0x}$  have a common period  $P = N2\pi$

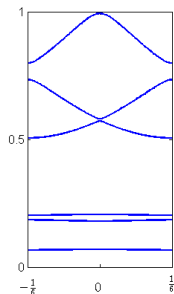
$\rightsquigarrow$  Brillouin zone  $\mathbb{B}_P = (-\frac{1}{2N}, \frac{1}{2N}]$

$\Rightarrow \pm k_0 = 0 \pmod{\frac{1}{N}}$ , i.e. double eigenvalue at  $k = 0$

band str. for  $-\partial_x^2 + \cos^5(x)$  ( $k_0 = 1/3$  marked)



$\cos^5(x) + \varepsilon \cos(2k_0x)$ ,  $\varepsilon = 0.1$



- generically:  $\varepsilon W$  with a period commensurable with  $P$  generates a gap in  $\sigma(-\partial_x^2 + V + \varepsilon W)$

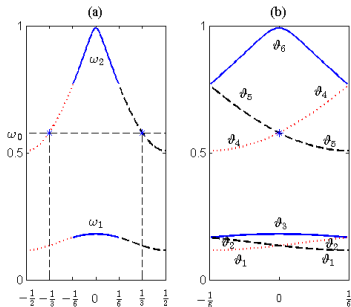
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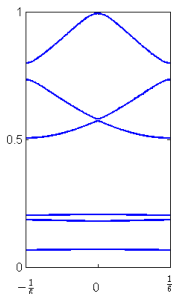
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• generically:  $\varepsilon W$  with a period commensurable with  $P$  generates a gap in  $\sigma(-\partial_x^2 + V + \varepsilon W)$

2)  $k_0 \notin \mathbb{Q}$ : no common period of  $V(x)$  and  $e^{ik_0x}$   
 $\Rightarrow$  two simple eigenvalues for any period  $N2\pi$ ,  $N \in \mathbb{N}$

Does a gap open anyway in  $\sigma(-\partial_x^2 + V + \varepsilon W)$ ?





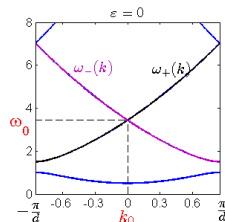
# Construction of a GS-approximation

Example:  $V(x) = \text{sn}^2(x, 1/2)$  (period  $d \approx 3.708$ )

$$W(x) = \sin(4\pi x/d), k_0 = 0, \omega_0 \approx 3.42$$

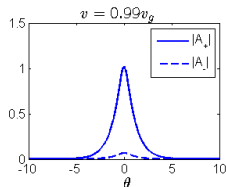
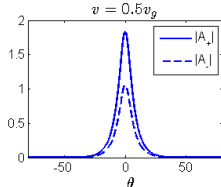
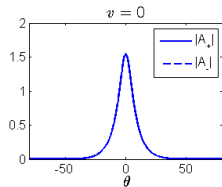
$$v_g \approx 3.367, \kappa \approx 0.493,$$

$$\alpha \approx 0.136, \beta \approx i 6.48 * 10^{-7}, \gamma \approx 7.22 * 10^{-6}$$



- localized traveling solutions of CME found by numerical homotopy from CME-gap solitons of [Aceves, Wabnitz, 1989] in  $\beta, \gamma$  in the moving frame variable

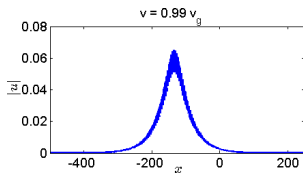
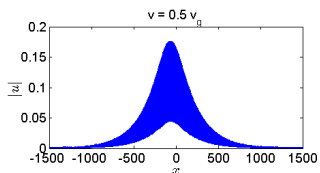
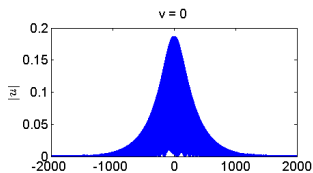
$$\theta = X - v v_g T, v \in (-1, 1)$$



## GS-approximation and the numerical solution

[D., SIAM J. Appl. Math, 2014]

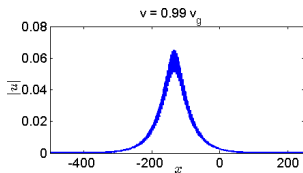
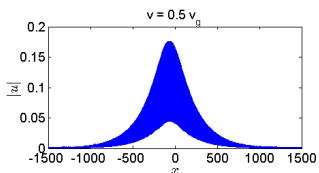
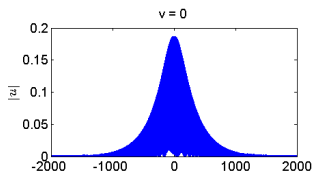
$$\varepsilon = 0.025$$



## GS-approximation and the numerical solution

[D., SIAM J. Appl. Math, 2014]

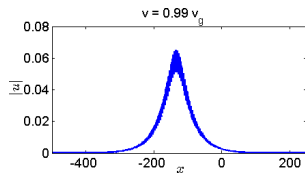
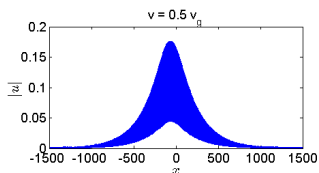
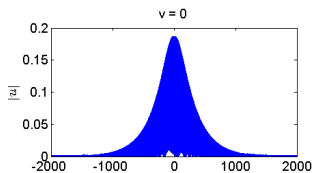
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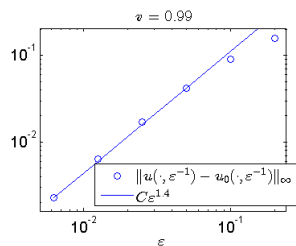
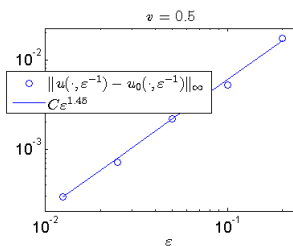
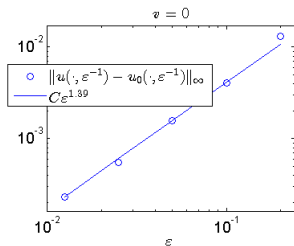
## GS-approximation and the numerical solution

[D., SIAM J. Appl. Math, 2014]

$$\varepsilon = 0.025$$



## GS-approximation and the numerical solution



# Future work

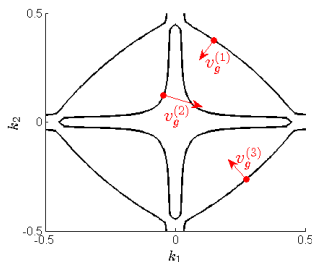
- generalization to higher spatial dimensions:

$$i\partial_t u + \Delta u - (V(x) + \varepsilon W(x)) - \sigma(x)|u|^2 u = 0, x \in \mathbb{R}^n, \quad V, W, \sigma \text{ periodic}$$

**Aim:** family of pulses traveling at an arbitrary direction in  $\mathbb{R}^n$

- Idea: Wavepacket made of  $m \geq n + 1$  Bloch waves, s.t.  $\text{conv}\{v_g^{(1)}, \dots, v_g^{(m)}\}$  contains all directions in  $\mathbb{R}^n$

$$u(x, t) \sim \varepsilon^{1/2} \sum_{j=1}^m A_j(\varepsilon x, \varepsilon t) \xi_{n_j}(x, k^{(j)})$$



- effective equations

$$i(\partial_\tau A_j + v_g^{(j)} \cdot \nabla_y A_j) + \sum_{n \neq j} \kappa_{j,n} A_n + \mathcal{N}_j(\vec{A}) = 0, y \in \mathbb{R}^n, \quad j = 1, \dots, m$$